

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Re: Attorney Docket No. Baltersee 1-1-1

In re application of: Jens Baltersee, Gunnar Fock, Peter Schulz-Rittich

Serial No.: 09/758,302

Filed: 01/11/01

Matter No.: 992.1255

Group No.: 2611

Examiner: Tesfaldet Bocure

Phone No.: 571-272-3015

For: Adaptive Code-Tracking Receiver For Direct-Sequence Code-Division Multiple Access (CDMA) Communications Over Multipath Fading Channels And Method For Signal Processing In A Rake Receiver

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof. Submission of references is not an admission that those references are in fact prior art.

Certification Under 37 CFR § 1.704(d)

- [ ] Each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Certification Under 37 CFR § 1.97(e)

- [X] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

\* \* \* \* \*

Certification Under 37 CFR 1.8

Date of Deposit August 11, 2006

I hereby certify that this paper and any accompanying papers or fees are being deposited with the U.S. Postal Service with sufficient postage as first class mail under 37 CFR 1.8 on the date indicated above and addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Leighann Madeksiak  
(Name of person mailing)

Leighann Madeksiak  
(Signature of person mailing)

08/16/2006 WABDELRI 00000107 09758302

180.00 DP

01 FC:1806

Fee Under 37 CFR § 1.97(c)

☐ The fee for submission of this IDS under § 1.97(c) is \$180.00 as set forth in § 1.17(p).

Petition Under 37 CFR § 1.97(d)

☒ This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee for submission of this IDS under § 1.97(d) is \$180.00 as set forth in § 1.17(p).

Provision of Copies of References

☒ A copy of each of the listed references is enclosed herewith and forms a part hereof.

☐ A translation of each of Reference(s) \_\_\_ is enclosed herewith and forms a part hereof.

☐ A copy of the International Search Report from a corresponding PCT application is enclosed herewith.

☐ A copy of the European Search Report from a corresponding EPO application is enclosed herewith.

☐ A copy of the final U.S. office action for U.S. patent application no. \_\_\_\_\_ filed on \_\_\_\_\_ as attorney docket no. (Case name or our ref.).

☐ The above-captioned U.S. patent application is a

- ☐ continuation
- ☐ divisional
- ☐ continuation-in-part

application of U.S. application serial number \_\_\_\_, filed on \_\_\_\_ as Attorney Docket No. \_\_\_\_.  
Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.

Authorization to Charge Credit Card Account

☒ Form PTO-2038 authorizing credit card payment in the amount of \$180.00.

Authorization To Charge Deposit Account

☐ Authorization is given to charge Mendelsohn & Associates, P.C. Deposit Account No. 50-0782 for any fee under § 1.97(c) or § 1.97(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered.

Date: 8/11/06  
Customer No. 46900  
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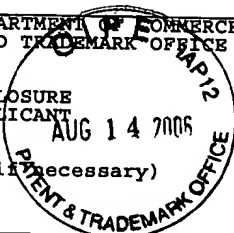
Respectfully submitted,

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Steve Mendelsohn  
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Enclosures

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICEINFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use several sheets if necessary)



ATTY. DOCKET NO.

SERIAL NO.

Baltersee 1-1-1  
APPLICANT

09/758,302

Jens Baltersee; Gunnar Fock; and Peter Schulz-Rittich  
FILING DATE GROUP

01/11/01

2611

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA	US 6,747,969 B1	06/2004	Hirsch	370	342	
	DB	US 6,714,585 B1	03/2004	Wang et al.	375	148	
	DC	US 6,661,835 B1	12/2003	Sugimoto et al.	375	148	
	DD	US 6,600,729 B1	07/2003	Suzuki	370	335	
	DE	US 6,473,415 B1	10/2002	Kim et al.	370	342	
	DF	US 2002/0131479 A1	09/2002	Butler et al.	375	147	
	DG	US 6,272,189 B1	08/2001	Garin et al.	375	343	
	DH	5,463,660	10/1995	Fukasawa et al.	375	205	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.